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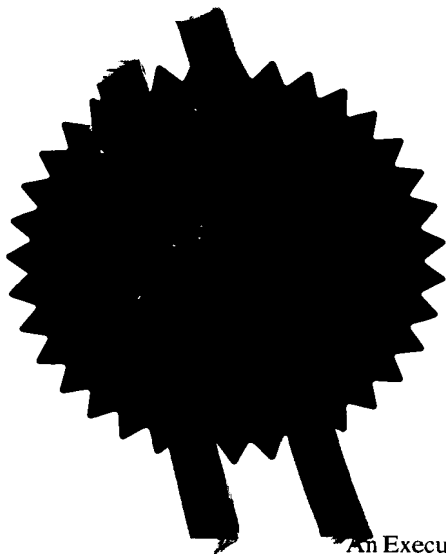
The Patent Office  
Concept House  
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NP10 8QQ

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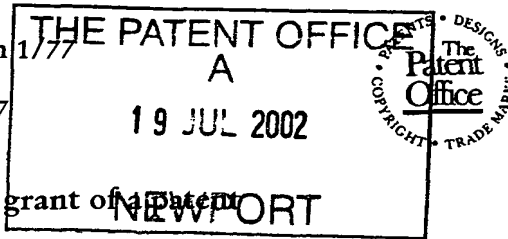
19 June 2003



Patents Form 1/77

Patents Act 1977

Request for grant of a patent  
Grant



1/77

The Patent Office  
Concept House  
Cardiff Road  
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1.	Your reference	2034-P587-GB		
2.	Patent application number	0216825.0 19 JUL 2002		
3.	Full name, address and postcode of the or of each applicant ( <i>underline all surnames</i> )	AUTODESK CANADA INC 10 Duke Street Montréal Quebec Canada H3C 2L7		
	Patents ADP number ( <i>if you know it</i> )	8378069001		
	If the applicant is a corporate body, give the country/state of its incorporation	Quebec, Canada		
4.	Title of the invention	Image Data Processing Apparatus		
5.	Name of your agent	ATKINSON BURRINGTON		
	"Address for service" in the United Kingdom to which all correspondence should be sent	25-29 President Buildings President Way Sheffield S4 7UR GB		
	Telephone No:	0114 275 2400		
	Patents ADP number	7807043001		
6.	If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and ( <i>if you know it</i> ) the or each application number	Country	Priority application number ( <i>if you know it</i> )	Date of filing (day/month/year)
		N/A	N/A	N/A
7.	If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application	Date of filing (day/month/year)	
		N/A	N/A	
8.	Is a statement of inventorship and of right to grant of a patent required in support of this request?	Yes		

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9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description

14

Claim(s)

04

Abstract

01

Drawings

11 + 11

CF

10. If you are also filing any of the following, state how many against each item.

Priority documents

N/A

Translations of priority documents

N/A

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*)

None

Request for preliminary examination and search (*Patents Form 9/77*)

One

Request for substantive examination (*Patents Form 10/77*)

None

Any other documents  
(Please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature



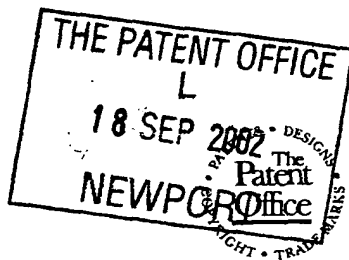
Date Thursday, 18 July 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

**RALPH ATKINSON CPA**  
**0114 275 2400**

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Statement of inventorship and of  
right to grant of a patent

The Patent Office  
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1. Your reference

2034-P587-GB

2. Patent application number

02 16 825.0

3. Full name, address and postcode of the or of  
each applicant (*underline all surnames*)

AUTODESK CANADA INC  
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4. Title of the invention

Image Data Processing Apparatus

5. State how the applicant(s) derived the right  
from the inventor(s) to be granted a patent

The applicant derived the right to the  
invention by virtue of contracts of  
employment

6. How many, if any, additional Patents Forms  
7/77 are attached to this form?  
(see note (c))

None

7.

I/We believe that the person(s) named over the page (*and on any extra copies of this form*)  
is/are the inventor(s) of the invention which the above patent application relates to.

Signature

Date Tuesday, 17 September 2002

8. Name and daytime telephone number of  
person to contact in the United Kingdom

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0114 275 2400

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Enter the full names, address and postcodes of the inventors in the boxes and underline the surnames

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Patents ADP number:

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## Image Data Processing Apparatus

### Background of the Invention

#### 1. Field of the Invention

5           The present invention relates to image data processing apparatus and a method of processing image data.

#### 2. Description of the Related Art

Image data processing systems for editing and manipulating moving image clips have been known for a number of years. Such systems contain  
10           secondary storage, typically in the form of a RAID (redundant array of independent discs), for the temporary storage of clips while the required editing process takes place.

A clip which is to be used in an editing process may comprise many megabytes of data. Therefore, if an attempt is made to load such a clip onto  
15           a RAID, and the available storage space on the RAID is insufficient, processing time and operator time is wasted. It is therefore known for systems to have a process for measuring the available storage space before the storage process is commenced. However, such measuring processes themselves require a substantial processing effort, and the corresponding  
20           processing time can impact on the workflow of the human operator.

### Brief Summary of the Invention

According to a first aspect of the present invention there is provided an image data processing apparatus, comprising: receiving means for

receiving image frame data defining image frames of a predetermined definition; image frame storage means having a plurality of defined storage elements, such that each element is configured to store a defined quantity of said image frame data; memory means containing usage data indicating which of said storage elements is currently being used; and processing means configured to update said usage data in response to image frame data being stored within said image frame storage means, wherein said memory means further contains a data store, and said processing means is configured to: analyse said usage data to determine the number of said storage elements currently not being used; store data within said data store indicating the number of image frames of said predetermined definition which may be received by the non-used storage elements; and in response to receiving a request to store further image frames of said predefined definition, read data from said data store to determine whether said further image frames may be stored.

### **Brief Description of the Several Views of the Drawings**

*Figure 1* shows a system **100** for editing image data;

*Figure 2* shows computer **101** of *Figure 1*;

*Figure 3* shows disc storage array **105** of *Figure 1*;

*Figure 4* shows an illustration of a striping process for storing image frames;

*Figure 5* shows an illustration of three partitions **501**, **502** and **503** co-existing on the RAID **105**;

*Figure 6* shows an example of a bitmap **601** representing a partition;

*Figure 7* illustrates eight data caches **701** to **708**;

*Figure 8* shows a flow chart illustrating the operation of the system **100**;

5        *Figure 9* shows the step **803** of acting upon a request to load image frames onto the frame store;

*Figure 10* shows the step **901** of determining available RAID space in further detail;

10        *Figure 11* shows the step **806** of acting upon a request to delete image frames from the frame store **105**.

### **Written Description of the Best Mode for Carrying Out the Invention**

15        A system **100** for editing image data is illustrated in *Figure 1*. The system is used by a human operator for editing, modifying, processing and adjusting video or film image data to form an output sequence that will eventually be stored onto digital tape.

20        The system comprises a computer **101**, such as an Octane manufactured by Silicon Graphics Inc., a monitor **102**, a graphics tablet **103** to allow the user to interact with a graphical user interface presented by the monitor and a keyboard **104** to facilitate alphanumeric input.

The system **100** further comprises a disc based frame storage system, referred to herein as a frame store **105**, and in preparation for image editing, images from one or more film or video clips are transferred to the frame store **105** from a digital tape player (not shown). The digital

tape player may be local to the system **100** or remote, with the transfer taking place via a network. The frame store **105**, comprises several high capacity hard disc drives, configured as a RAID (redundant array of independent discs), arranged to supply and store image data in parallel across several individual drives at once.

Using the frame store **105**, it is possible to play back and record high resolution film images, or video images, at any location in a clip without having to wait for a tape wind mechanism to reach the required frame, thereby facilitating non-linear editing. Furthermore the frame store facilitates real time play and record of image data.

The computer **101** includes a CD-ROM drive **106**, allowing program instructions to be loaded onto a hard disc within the Octane, from a CD-ROM **107**.

## **Figure 2**

Computer **101** of *Figure 1* is detailed in *Figure 2*. The computer includes one or more programmable processing devices **201** that communicate with a system memory **202**, a local disc storage device **203**, a first interface **204** for communicating with the disc storage array **105** and a second interface **205** for communicating with the keyboard **104**, touch tablet **103** and stylus.

Processing device **201** operates in response to program instructions read from system memory **202**. On initiation, program instructions are loaded into the system memory **202** from the local disc **203**. Local disc **203**

receives program instructions via data storage media such as a CD ROM 107 receivable within a CD ROM reader 106.

The system memory 202 contains kernel memory 206 which is reserved for the use of the operating system for computer 101, and also data storage required by the present invention.

### **Figure 3**

Disc storage array 105 is detailed in *Figure 3*. In this example, the array has a total of four magnetic disc drives 301 to 304, each with a storage capacity of four hundred gigabytes. Image data is received from the processing system 101 and is supplied to the processing system 101 over a SCSI interface 305 or, alternatively, a fibre channel interface (not shown). Interface 305 communicates with a SCSI controller which in turn communicates with the individual drives over a channel 306.

The individual frames stored on the frame storage system 105 form contiguous clips, usually derived from computer animation systems, video sources or cinematographic film sources. The frames are therefore arranged to be displayed at a particular display rate, such as thirty frames per second for NTSC, twenty-five frames per second for PAL or twenty-four frames per second for cinematographic film. Storage system 105 is therefore configured to allow these different types of frames to be transmitted at display rate or at multiples of display rate.

In addition to being displayed at different rates, and therefore requiring differing data transfer rates, the actual sizes of frames also varies

for different frame formats. Thus, for example a frame of NTSC video or PAL video requires approximately one megabyte. High definition television systems require an ever-greater degree of storage capability per frame and systems capable of processing images derived from cinematographic film may yet require a greater degree of storage per frame. The system therefore needs to be configured to allow frames to be transported at selected display rates and at selected frame definitions.

The frame storage system is optimised by dividing each image frame into a plurality of stripes and then writing each stripe to an individual disc storage device. In this way, data defining an image frame is distributed over three of the discs **301** to **303**. In addition, a further disc storage device **304** is required for parity data where similar bits within each stripe are XORed together to produce a parity stream that is written to the redundant disc. In this way, the loss of data from any one disc may be reconstituted by performing the XORing process for all the remaining data. Further details of such a system are given in the present Assignee's United States Patent No. 6,118,931.

#### **Figure 4**

A striping process for storing image frames is illustrated in *Figure 4*. An incoming frame **401** is divided into three stripes, identified as stripe zero, stripe one, and stripe two. A storage control process **402** performs an XOR operation to generate parity data. Thereafter, data is written in parallel to discs **301** to **304**. Thus in this example, disc **301** receives data from stripe

two, disc **302** receives data from stripe one, disc **303** receives data from stripe zero, disc **304** receives the parity data. The addressing of data from the stripes may identify substantially similar locations but with the application of an appropriate off-set. Thus, data is read from stripe one at  
5 the same locations as data being read from stripe zero but with an appropriate off-set as identified by arrow **403**.

Having established a system of using four discs to stripe image frames as shown in *Figure 4*, applications executed by processing system **101** may access the storage device but from the perspective of the  
10 application executed by processing system **101**, the four grouped drives operate as a single logical volume.

As mentioned above, the frame store **105** is used to process image frames of various predetermined definitions and display rates. In each case, a striping process similar to that described above may be used, resulting in  
15 each frame being stored as one or more stripes on each of the discs **301** to **304**. However, due to the varying quantity of data required to define images of varying definition, the lengths of the stored stripes depends upon the definition of the respective images. For example, both NTSC and PAL images may be stored using the above described process, but the NTSC  
20 images will require the storage of slightly shorter stripes than for the PAL images. Consequently, in order to store the data efficiently, image frames are stored in separate partitions on the RAID, with each partition configured to accept image data relating to image frames of a predetermined definition.

Higher definition images may also be stored using the striping

process described above. However, if the image definition is too large for image data to be written to and read from the frame store at the required rate, one or more additional RAID's similar to frame store **105** may be used. In such a case, the RAID's are used in conjunction, such that each frame is striped across the discs of each array.

### **Figure 5**

An illustration of three partitions **501**, **502** and **503** co-existing on the FRAME STORE **105** is shown in *Figure 5*. Each of the four discs **301** to **304** is similarly partitioned and configured to store image frames having three different defined definitions A,B and C. Consequently, partition **501** has storage elements A0, A1, A2 etc. of a first size, chosen for the efficient storage of stripes from frames of definition A, partition **502** has storage elements B0, B1, B2 etc. configured to receive stripes from frames of definition B, and partition **503** has storage elements C0, C1, C2 etc. configured to receive stripes from frames of definition C. Thus, on a request to store image frames of a particular one of said definitions, the storage process **402** writes data into the respective partition.

It should be understood that each partition, such as partition **501** extends across all discs in the RAID **105** and a data storage element, such as element A10 in partition **501**, comprises of four storage blocks, one on each of the four discs, with each block being at the corresponding location on each disc.

In an alternative embodiment, in which the frame store comprises two

or more such RAIDs used in conjunction, a partition may extend across all discs in all of the RAIDs, or a group of discs. Thus, for example lower resolution image frames may be stored on one four disc RAID while higher definition frames are stored on the eight discs of two RAIDs. In this embodiment, each of the partitions contains a certain number of storage elements, but the elements from some partitions comprise blocks from four discs while storage elements of the high definition partitions may contain blocks from eight or more discs.

#### **Figure 6**

In order to manage the storage of image frames to the frame store **105**, the processor **201** utilises usage data which is stored within kernel memory **206**. The usage data takes the form of one or more bitmaps, which are arranged to represent the status of the storage elements within the partitions of the RAID. Each bit within the bitmap corresponds to just one of the storage elements, and is arranged to indicate whether or not a storage element is currently being used to store image data. Consequently, if a particular storage element is not currently being used, the corresponding bit is set to zero, and if it is being used it is set to one. In order to maintain the accuracy of the bitmap data, the storage control process **402** updates the bitmap as image data is written to and deleted from the frame store **105**.

An example of a bitmap **601** representing a partition is shown in *Figure 6*. The bitmap **601** may, for example, represent partition **501** of *Figure 5*. A small section **602** of the bitmap **601** is shown as enlarged

portion **603**. As illustrated by the enlarge portion **603**, the bitmap merely comprises ones and zeroes, indicating the current use, or non-use respectively, of the corresponding storage element within partition **501**.

5      **Figure 7**

A human operator of system **100** may need to process image data from many different clips, each comprising frames of one of several different predetermined image definitions. In order to edit, manipulate, etc. said clips, they are first stored onto the frame store **105**.

10      Thus, during an editing process the processor **201** receives a plurality of requests each requesting that that a clip comprising image data is to be stored on frame store **105**. If the storage control process merely attempted to store the clip there would be a possibility that the available storage space would be filled before all data defining the clip were stored,  
15      and thus the storage process would be unsuccessful.

Therefore, before commencing the storage of a clip, the processor **201** determines whether the frame store **105** contains sufficient free storage space to store said clip. This may be done by parsing the relevant bitmap to determine how many frames of the required image definition could be  
20      stored in the partition. If it is determined that sufficient storage space exists, then the clip is stored. If sufficient storage space does not exist then an appropriate message may be displayed to the user.

Due to the large storage capacity of the FRAME STORE **105**, and the corresponding large size of the bitmap, or bitmaps, the parsing process

may take as much as two or three seconds.

In order to improve workflow of the system **100**, the kernel memory **206** includes eight data caches **701** to **708** inclusive, as illustrated in *Figure 7*. Each of the data caches is configured to receive a frame definition, or size, and the corresponding number of storage elements which are currently available. Thus, for example, cache **701** may contain a frame definition, or size, of 1000, indicating NTSC frames and 10890, indicating that 10890 storage elements are currently not being used.

After parsing a bitmap as described above, the processor **202** stores the result to one of the caches. Consequently, if the storage request cannot be serviced, due to lack of storage space, the cached data becomes of use to further storage requests. That is, if a further request is received to store a clip of the same frame definition, then instead of parsing the bitmap, the necessary information can be read immediately from the cache, and a few seconds may be saved in processing time and human operator's time.

Thus, each of the caches **701** to **708** provide a data store which, when used, contain data indicating the number of image frames of a predetermined definition which may be received by non-used locations of the frame store.

### **Figure 8**

A flow chart providing a simplified illustration of the operation of the system **100** is shown in *Figure 8*.

Following power on at step **801**, the system is initialised at step **802**.

During the initialisation, the operating system is booted up, hardware drivers are initialised, the editing application program is started, and bitmaps representing storage usage within the frame store **105** and the corresponding caches are initialised.

5           At step **803** a question is asked to determine whether a request has been received to load image frame data onto the frame store **105**. If this question is answered yes, then the request is acted upon at step **804**, before step **805** is entered. A second question is asked at step **805**, to determine whether a request has been received to delete image frames  
10       from the frame store. If this question is answered yes, then the request is acted upon at step **806** before step **807** is entered.

          At step **807** image data is edited, manipulated etc. in response to input signals received from the keyboard **104**, or graphics tablet **105**. At step **808** it is determined whether or not a request has been received,  
15       indicating that the editing session is to be terminated. If this question is answered yes then the system may be shut down at step **809**, otherwise step **803** to **808** are repeated.

          Of course, the order in which steps **803** to **807** are performed may be varied from the flow chart of *Figure 8*, which is merely intended to  
20       provide a simple example.

### ***Figure 9***

          The step **803** of acting upon a request to load image frames onto the frame store is shown in more detail in *Figure 9*. At step **901**, the storage

control process determines the available free storage space on the frame store **105** for storing image frames of the required definition. At step **902** a question is asked to determine whether the number of frames which may be stored, as determined at step **901**, is greater than or equal to the  
5 number of frames requested to be stored. If it is determine at step **902** that the frame store has insufficient storage space, then an appropriate message is displayed on monitor **102** and step **804** is completed.

Alternatively, if step **902** finds that there is sufficient storage space, then, at step **903**, images frames are stored to the appropriate partition of  
10 the frame store **105** in accordance with the request. The relevant bitmap is updated at step **904** to reflect the changes made at step **903** to the storage space usage. At step **905** the corresponding cache **701, 702, 703, 704, 705, 706, 707** or **708**, is flushed, and the flushed cache is then updated at step **906**, to complete step **804**.

15 Step **906** of updating the cache may be performed by re-parsing the relevant bitmap. Alternatively, the new cached value may be calculated from the flushed value and the number of frames stored at step **903**.

### **Figure 10**

20 The step **901** of determining available RAID space is shown in further detail in *Figure 10*. At step **1001** a question is asked to determine whether the available RAID space for image frames of the required definition has already been calculated and stored within one of the eight caches. If so, then the required information is read from the relevant cache

at step **1004**, and step **901** is completed. Otherwise, if the question asked at step **1001** is answered negatively, then the appropriate bitmap is parsed at step **1002** to determine how many storage elements are presently not being used, and hence how many frames of the requested image definition may be stored. The frame definition and number of frames which may be stored is then cached at step **1003** to complete step **901**.

***Figure 11***

The step **806** of acting upon a request to delete image frames from the frame store **105** is shown in more detail in *Figure 11*. At step **1101** frames are deleted from the relevant partition of the frame store **105**, in accordance with the request received at step **805**. At step **1104** the bitmap corresponding to said partition is updated, and then the corresponding cache is flushed and updated at steps **1105** and **1106** respectively. Thus steps **1104** to **1106** are, in essence, the same as steps **904** to **906**.

**Claims:****1.** Image data processing apparatus, comprising:

receiving means for receiving image frame data defining image  
5 frames of a predetermined definition;

image frame storage means having a plurality of defined storage  
elements, such that each element is configured to store a defined quantity  
of said image frame data;

memory means containing usage data indicating which of said  
10 storage elements is currently being used; and

processing means configured to update said usage data in response  
to image frame data being stored within said image frame storage means,  
wherein said memory means further contains a data store, and said  
processing means is configured to:

15 analyse said usage data to determine the number of said storage  
elements currently not being used;

store data within said data store indicating the number of image  
frames of said predetermined definition which may be received by the non-  
used storage elements; and

20 in response to receiving a request to store further image frames of  
said predetermined definition, read data from said data store to determine  
whether said further image frames may be stored.

**2.** Image data processing apparatus according to claim 1,

wherein said storage elements have a storage capacity configured to provide efficient storage of an image frame of said predetermined definition.

5           3.     Image data processing apparatus according to claim 1 or claim 2, wherein each said storage element has the storage capacity to store only one of said image frames of said predetermined definition.

          4.     Image data processing apparatus according to any of claims 1 to 3, wherein said image frame storage means comprises a hard disc.

10

          5.     Image data processing apparatus according to any of claims 1 to 4, wherein said image frame storage means comprises a RAID (redundant array of independent discs).

15           6.     Image data processing apparatus according to any of claims 1 to 5, wherein said usage data comprises a data element corresponding to each storage element.

          7.     Image data processing apparatus according to any of claims 1 to 6, wherein said usage data comprises a bitmap.

20

          8.     Image data processing apparatus according to claim 7, wherein said analysis of said usage data comprises parsing said bitmap.

9. Image data processing apparatus according to any of claims 1 to 8, wherein said data store comprises a cache within kernel memory.

10. A method of processing image data, comprising the steps of:  
5 receiving image frame data defining image frames of a predetermined definition;

storing said image frame data within image frame storage apparatus having a plurality of defined storage elements, such that each element is configured to store a defined quantity of said image frame data;

10 storing usage data indicating which of said storage elements is currently being used;

in response to image frame data being stored within said image frame storage means, updating said usage data;

15 analysing said usage data to determine the number of said storage elements currently not being used;

storing data within a data store indicating the number of image frames of said predetermined definition which may be received by the non-used storage elements; and

20 in response to receiving a request to store further image frames of said predefined definition, reading data from said data store to determine whether said further image frames may be stored.

11. A computer-readable medium having computer-readable instructions executable by a computer such that, when executing said

instructions, a computer will perform the steps of:

receiving image frame data defining image frames of a predetermined definition;

5 storing said image frame data within image frame storage apparatus having a plurality of defined storage elements, such that each element is configured to store a defined quantity of said image frame data;

storing usage data indicating which of said storage elements is currently being used;

10 in response to image frame data being stored within said image frame storage means, updating said usage data;

analysing said usage data to determine the number of said storage elements currently not being used;

15 storing data within a data store indicating the number of image frames of said predetermined definition which may be received by the non-used storage elements; and

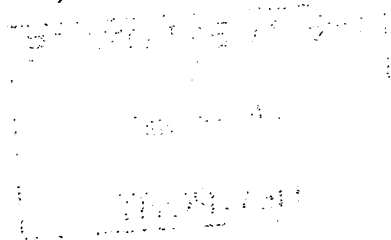
in response to receiving a request to store further image frames of said predefined definition, reading data from said data store to determine whether said further image frames may be stored.

**Abstract of the Disclosure****Image Data Processing Apparatus**

Image data processing apparatus (100), comprising receiving means  
5 for receiving image frame data defining image frames of a predetermined  
definition; image frame storage means (105) having defined storage  
elements, such that each element is configured to store a defined quantity  
of the image frame data; memory means (206) containing usage data  
indicating which of the storage elements is currently being used; and  
10 processing means (201) configured to update the usage data in response  
to image frame data being stored within the image frame storage means.

The processing means is configured to analyse the usage data to  
determine the number of the storage elements currently not being used.  
Data is then stored, within a data store within memory means (206),  
15 indicating the number of image frames of the predetermined definition  
which may be received by the non-used storage elements. In response to  
receiving a request to store further image frames of the predetermined  
definition, data is read from the data store to determine whether the further  
image frames may be stored.

20

*(Figure 1)*



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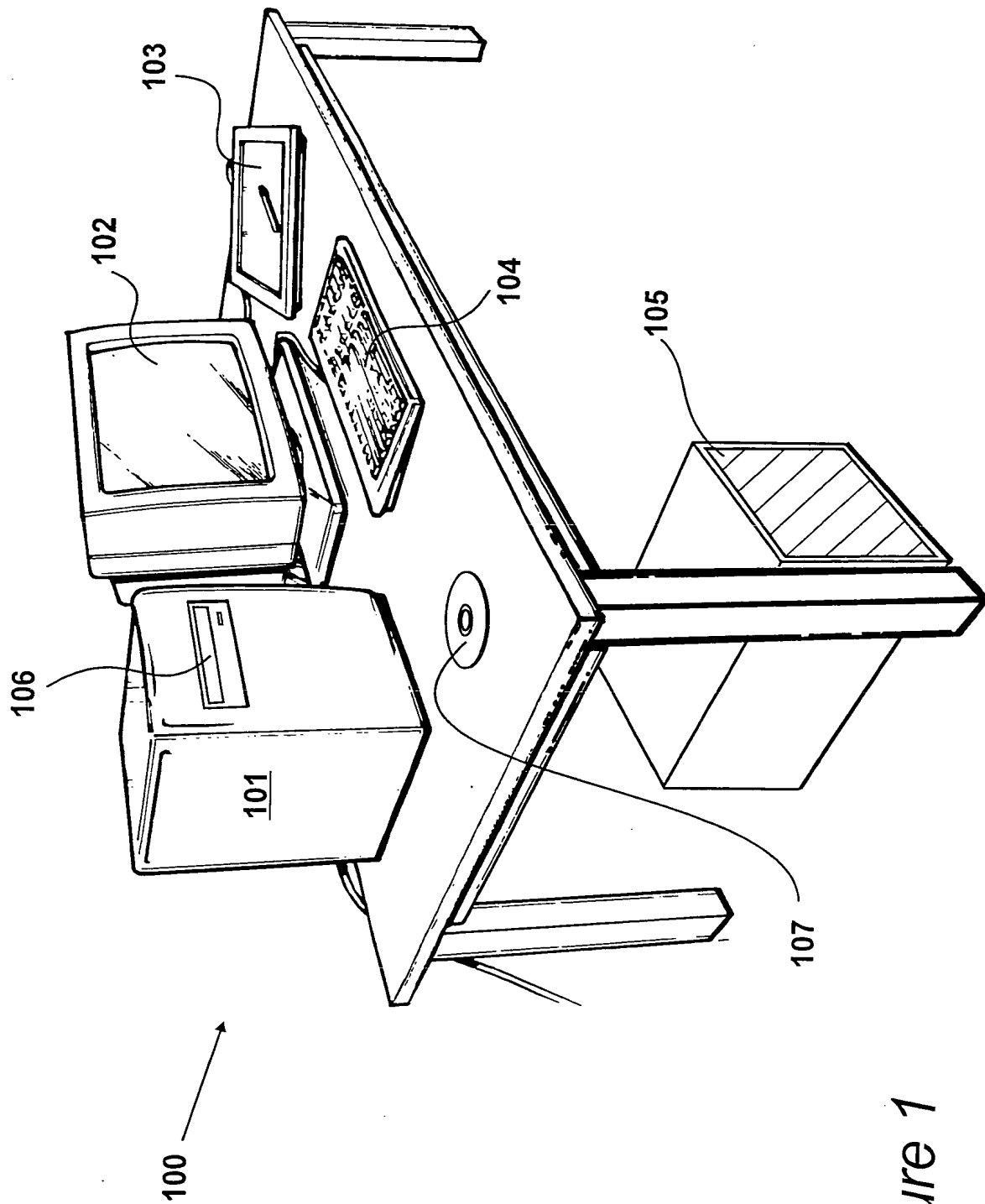
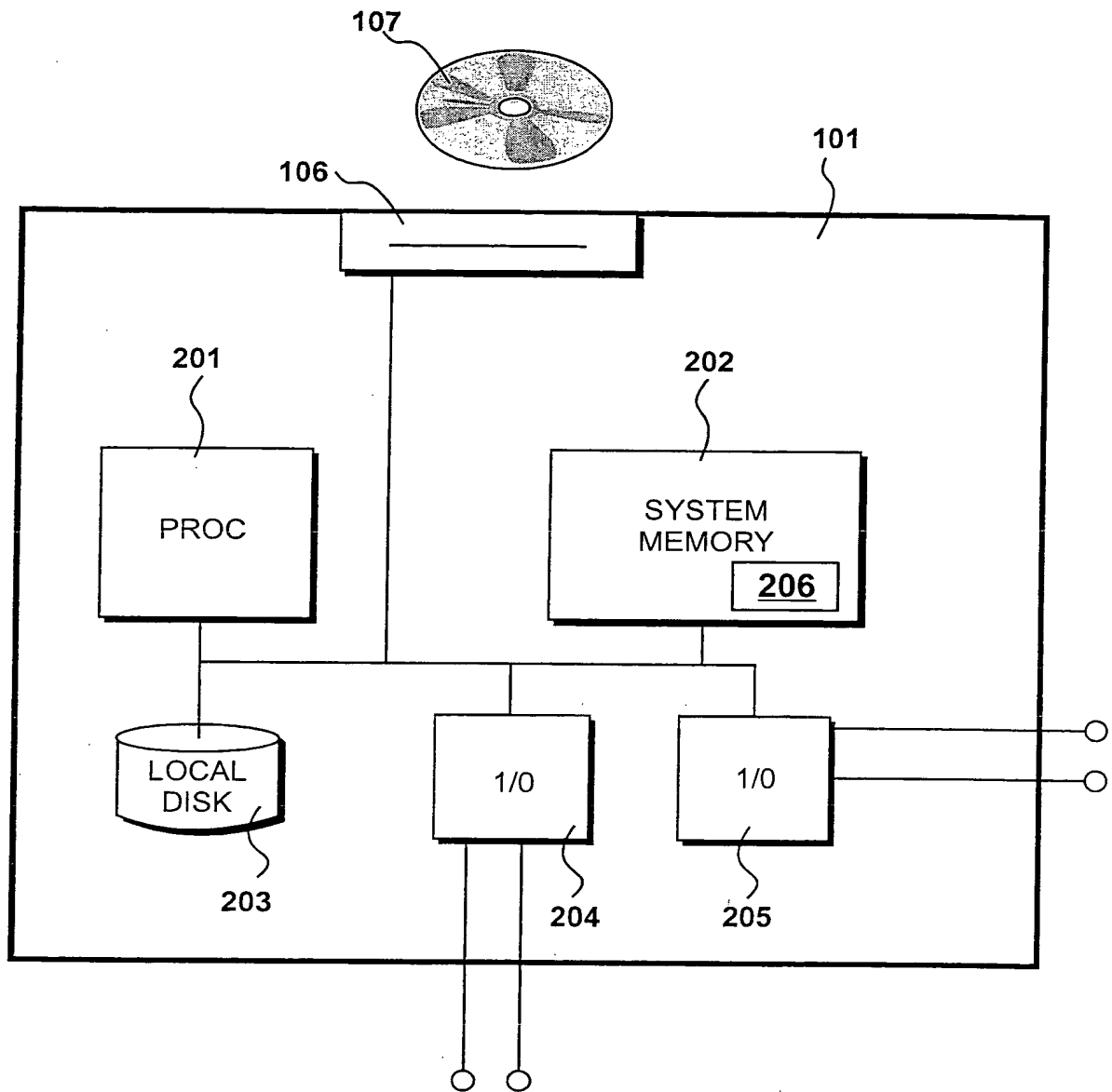


Figure 1



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*Figure 2*



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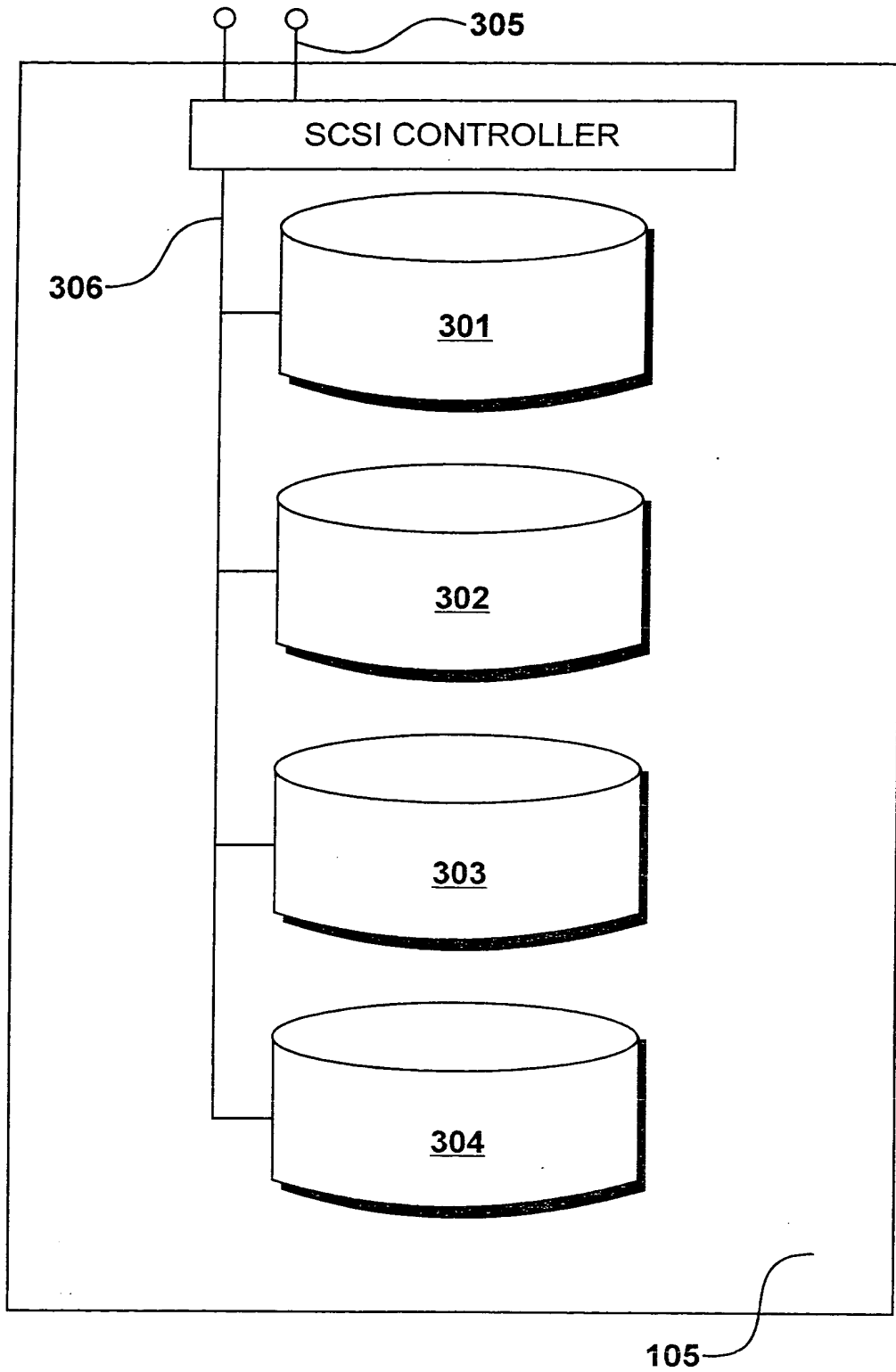


Figure 3



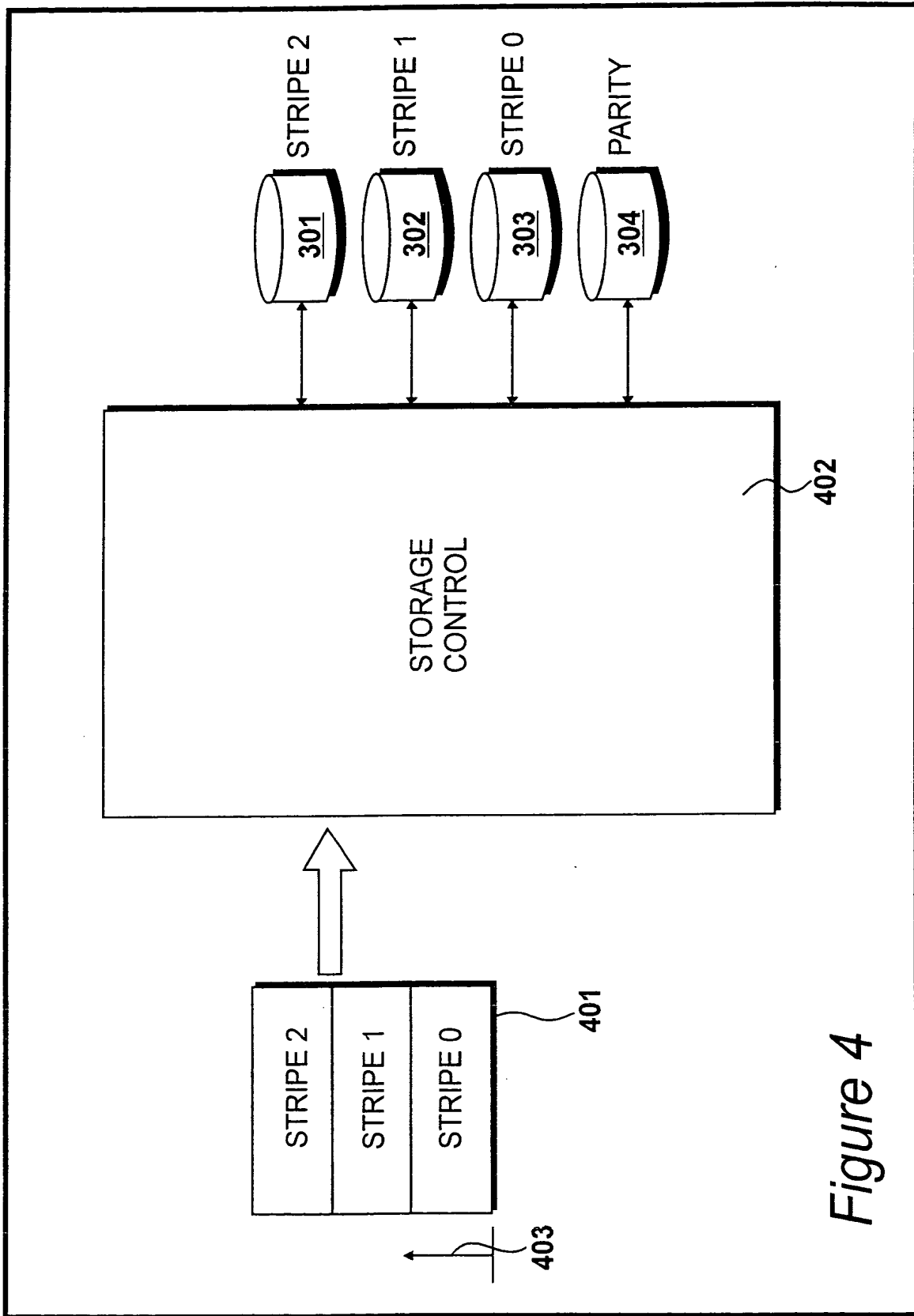
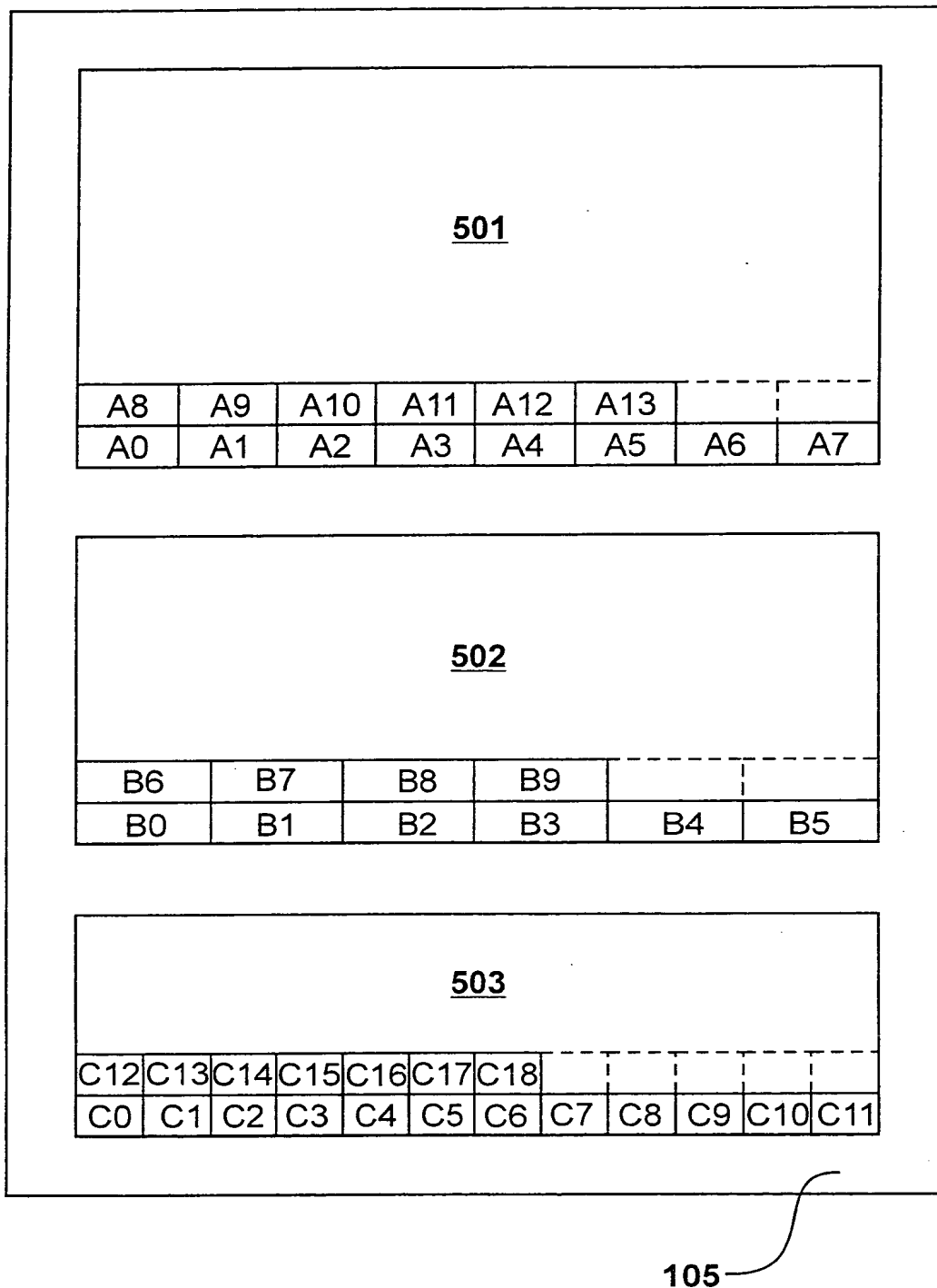


Figure 4



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*Figure 5*



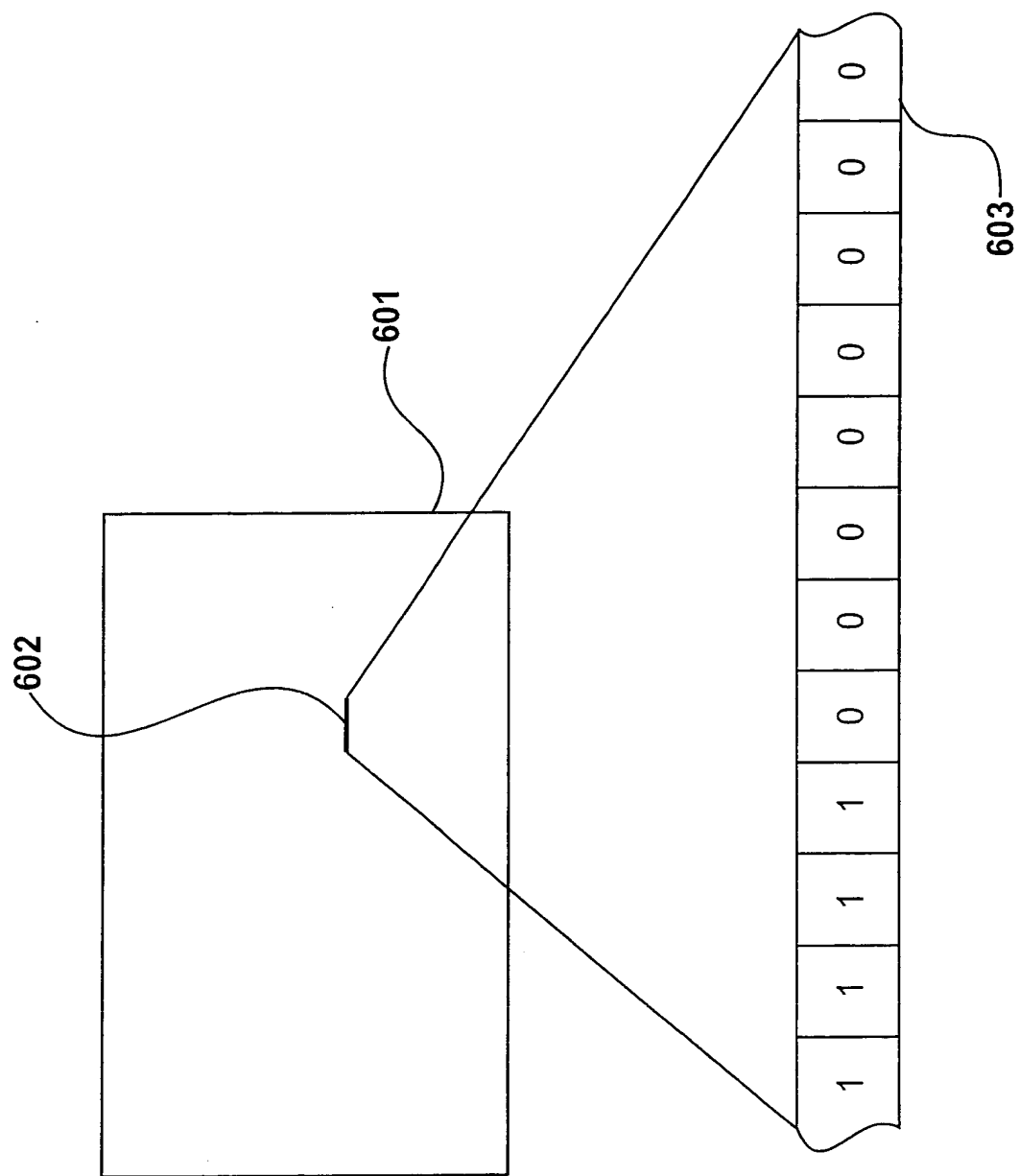


Figure 6



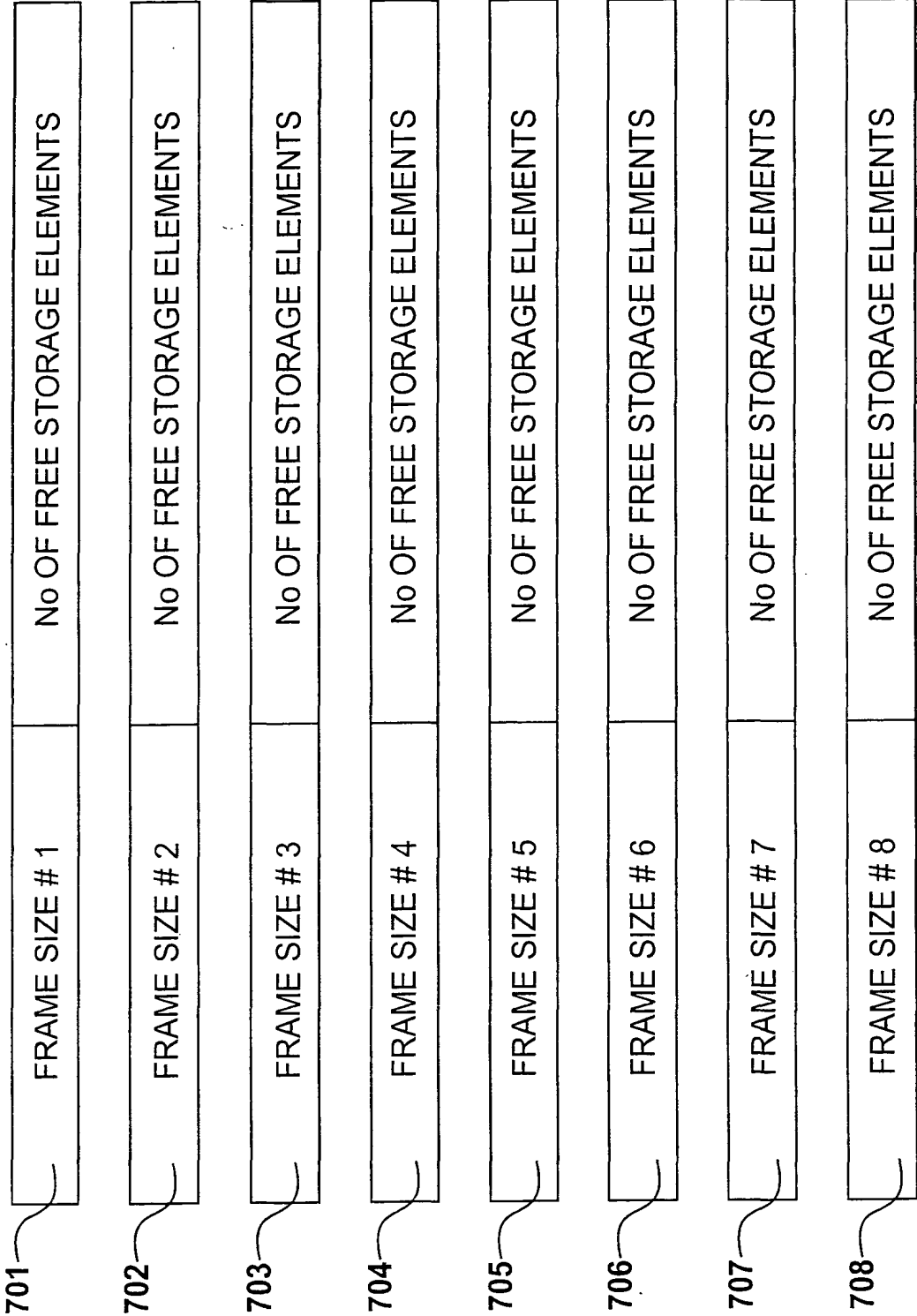
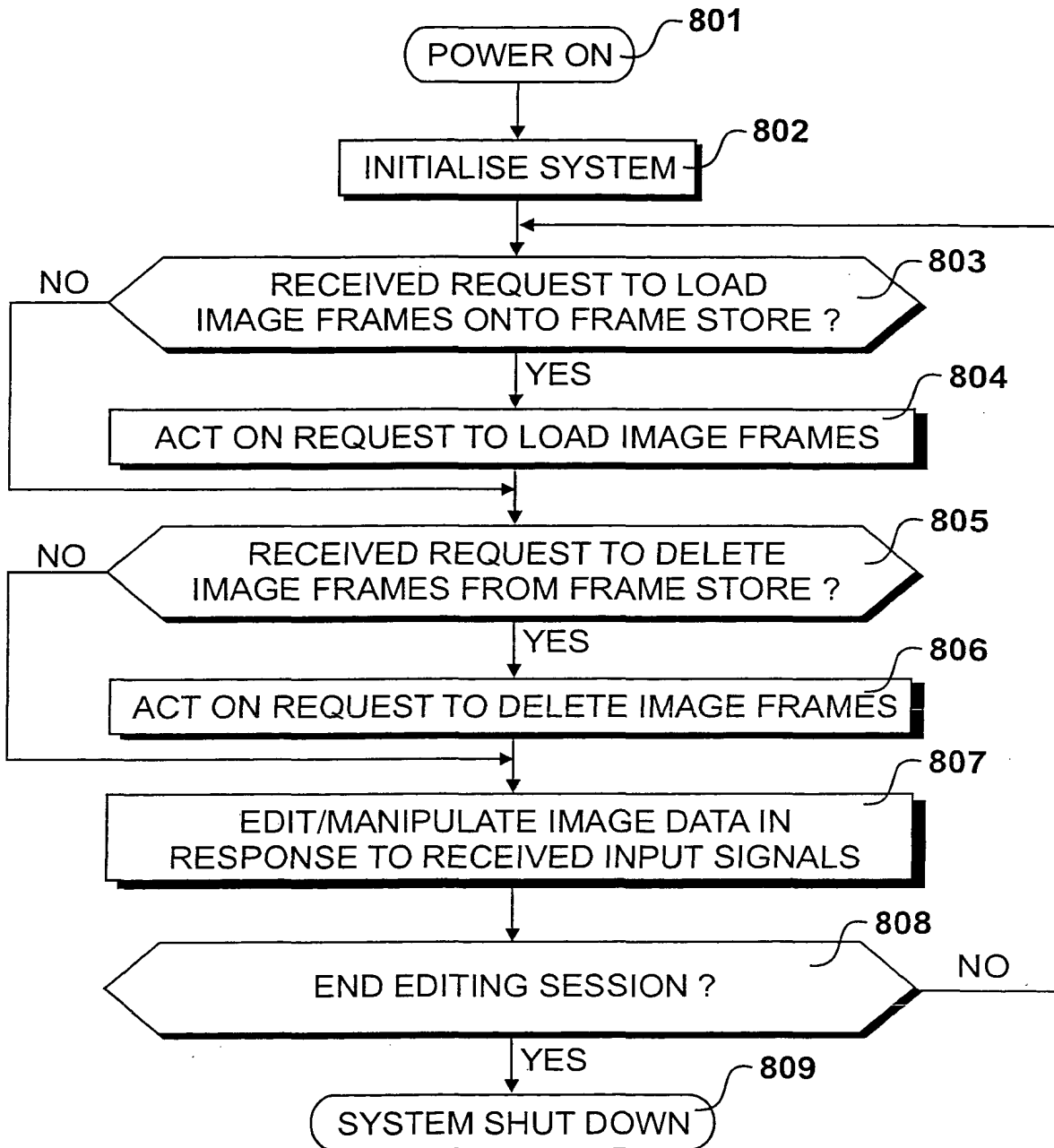


Figure 7

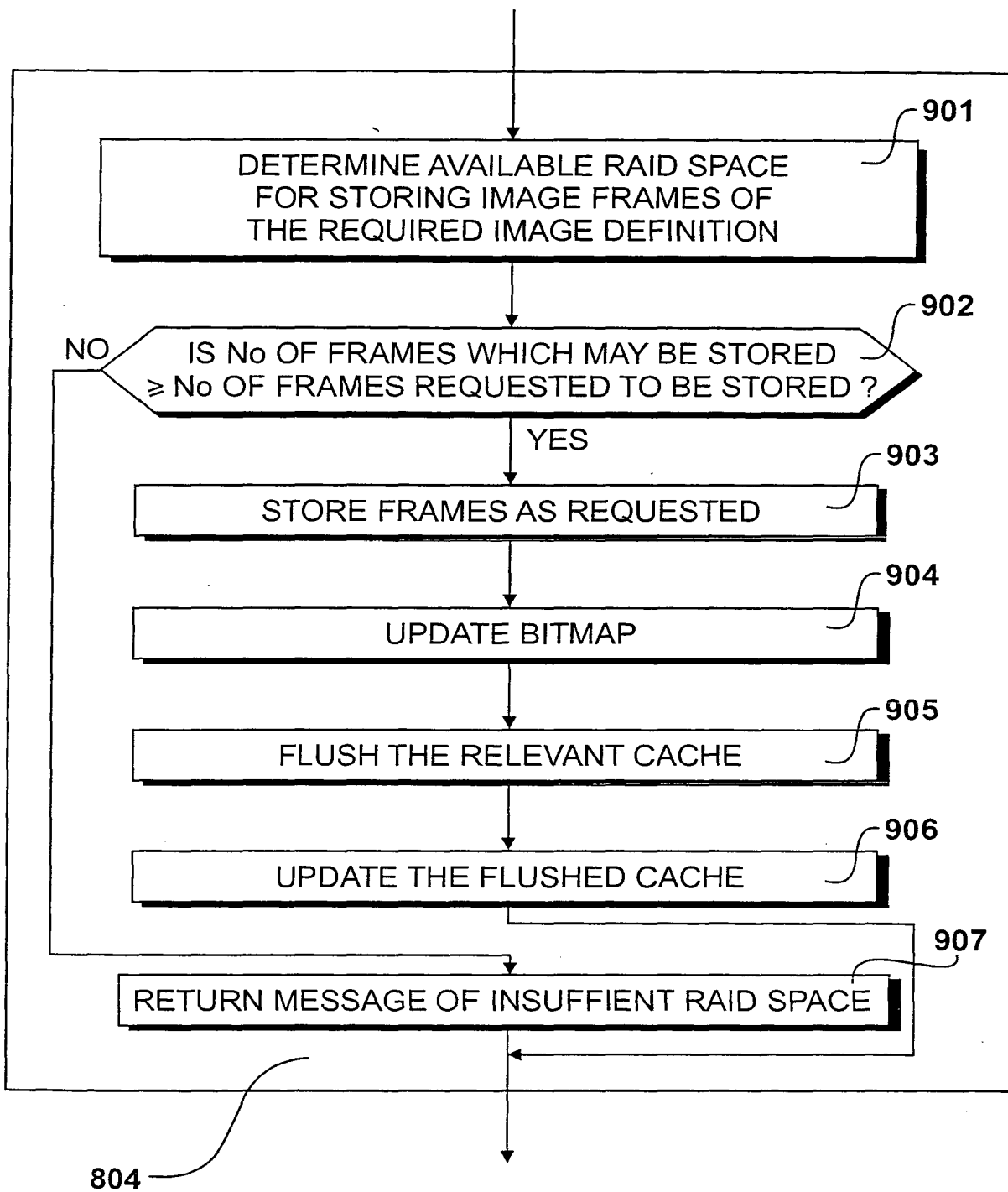


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*Figure 8*

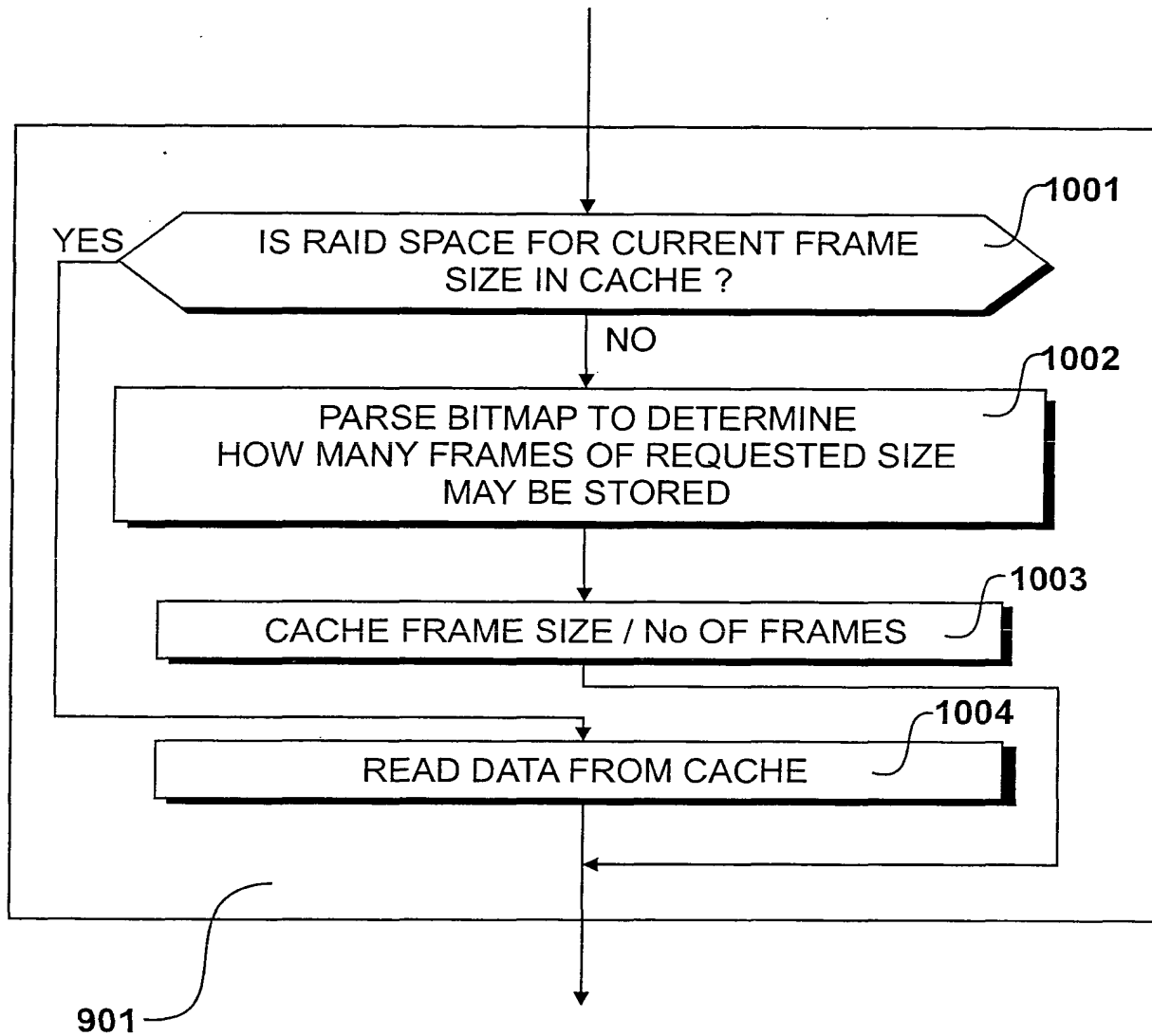


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*Figure 9*

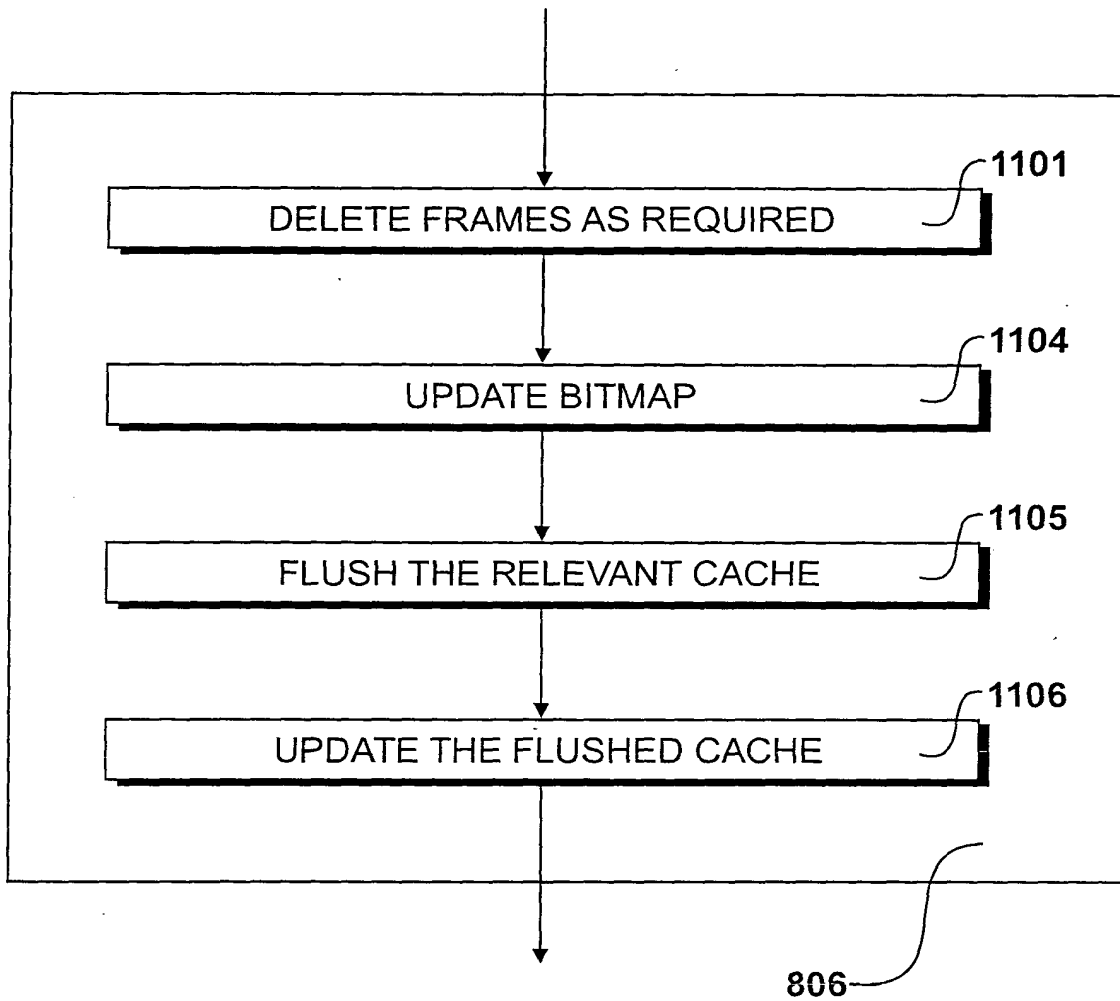


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*Figure 10*



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*Figure 11*

